

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA**

Case No: 3:18-cr-00577-CRB-1

Case Name: USA v. Michael Richard LynchUSA v. Stephen Keith Chamberlain

TRIAL SHEET, EXHIBIT and WITNESS LIST

JUDGE: Charles R. Breyer	PLAINTIFF ATTORNEY: Adam Reeves, Kristina Green, Robert Leach, Zachary Abrahamson	DEFENSE ATTORNEY: Gary Lincenberg, Michael Landman, Ray Seilie (Chamberlain) Christopher J. Morvillo Celeste Koeleveld Brian Heberlig Jonathan Baum Daniel Silver Michelle Levin (Lynch)
TRIAL DATE: May 15, 2024	REPORTER(S): Rhonda Aquilina Jennifer Coulthard	CLERK: Lashanda Scott

PLF NO.	DEF NO.	DATE/TIME OFFERED	ID	REC	DESCRIPTION	BY
		9:18 a.m.			Judge cried-in. Court Reporter – Rhonda Aquilina. All jurors present. All parties present. Government direct examination of Steven Brice	
17499			X		Exhibit 17499 pages 1, 2, 4-13, 15-17 marked (Demonstrative)	
11665			X	X	Exhibit 11665 marked and admitted Quarterly revenue breakdowns from Q1 2009 - Q2 2011	
11643			X	X	Exhibit 11643 marked and admitted FY 2009 General Ledger	
11644			X	X	Exhibit 11644 marked and admitted FY 2010 General Ledger	
11645			X	X	Exhibit 11645 marked and admitted FY 2011 General Ledger	
16394			X	X	Exhibit 16394 marked and admitted Capax Discovery bill to Zantaz, Inc. for "Specialized EDD Processing"	
16317			X	X	Exhibit 16317 marked and admitted Capax invoice to Zantaz, Inc. for "Specialized EDD processing"	
16318			X	X	Exhibit 16318 marked and admitted	

					Paid 7/10/2009 invoice to Zantaz, Inc. for "Specialized EDD processing"	
16319			X	X	Exhibit 16319 marked and admitted Paid 7/31/2009 invoice to Zantaz, Inc. for "Specialized EDD processing"	
16964			X	X	Exhibit 16964 marked and admitted Autonomy invoice to Zantaz (\$250k) (Invoice # 8)	
16965			X	X	Exhibit 16965 marked and admitted Autonomy invoice to Zantaz (\$500k) (Invoice # 10)	
17299			X	X	Exhibit 17299 marked and admitted	
16307			X	X	Exhibit 16307 marked and admitted Capax Activity Report	
16795			X	X	Exhibit 16795 marked and admitted Exhibit B from Autonomy Value Added Reseller Agreement from Capax Discover to Autonomy, Inc	
17499			X		Exhibit 17499 pages 19, 20, 21 marked (Demonstrative)	
15879			X		Exhibit 15879 previously admitted	
17499			X		Exhibit 17499 pages 23, 24, 27, 29 marked (Demonstrative)	
364			X		Exhibit 364 previously admitted	
16670			X	X	Exhibit 16670 marked and admitted Email from UffelmanA to KrakoskiJ RE: Autonomy proposal	
16458			X	X	Exhibit 16458 marked and admitted Citadel Digital Safe License and Subscription Services Agreement	
16785			X	X	Exhibit 16785 marked and admitted Email from ChangM to RhodesK et al re Autonomy Revised Pricing	
9523			X	X	Exhibit 9523 marked and admitted Excel File, Cost Savings Available to JPMC, Summary of Cost Savings 7 Year	
16670			X	X	Exhibit 16670 marked and admitted Email from UffelmanA to KrakoskiJ RE: Autonomy proposal	
16458			X	X	Exhibit 16458 marked and admitted Citadel Digital Safe License and Subscription Services Agreement	
16785			X	X	Exhibit 16785 marked and admitted Email from ChangM to RhodesK et al re Autonomy Revised Pricing	
9523			X	X	Exhibit 9523 marked and admitted Email from Poppy Prentis to Chris Goodfellow fw JPMC	
16659			X	X	Exhibit 16659 marked and admitted	

					Email from KrakoskiJ to Mooney M FW: Autonomy - IBM (for Ameriprise Financial) Proposal Detail – thru March 31, 2014 v1.xlsx	
16669			X	X	Exhibit 16669 marked and admitted Autonomy Digital Safe Service Charles Schwab	
16676			X	X	Exhibit 16676 marked and admitted Email from EganS to HussainS FW: Getting back to you, attaching Autonomy Morgan Stanley Model 12 23 2009 Exercise Final	
16983			X	X	Exhibit 16983 marked and admitted Email from Poppy Prentis to Chris Goodfellow re: JPMC	
16793			X	X	Exhibit 16793 marked and admitted Comparison, Bank-Vs-Autonomy, Payment Summary, Graphs, and Executive Summary spreadsheets	
16840			X	X	Exhibit 16840 marked and admitted Spreadsheet - Deutsche Bank Proposal, Executive Summary, Cash Basis, Accrual Basis, Assumptiona	
16846			X	X	Exhibit 16846 marked and admitted Input and Assumptions Spreadsheet	
16609			X	X	Exhibit 16609 marked and admitted Email from EganC to SassR, ChamberlainS, and HussainS re "RE: Important: Metlife"	
17499			X		Exhibit 17499 pages 29, 34, 35, 36-48, 50, 51, 56 (1006 Summary Chart admitted), 58 Marked (Demonstrative)	
11640			X	X	Exhibit 11640 marked and admitted Documentation confirming delivery of Hitachi Data Systems hardware to Morgan Stanley	
11655			X	X	Exhibit 11655 marked and admitted Invoice issued by Hitachi Data Systems Corporation to Autonomy for purchase of Hitachi AMS Storage Solutions (\$5,997,303)	
11641			X	X	Exhibit 11641 marked and admitted Documentation confirming shipment of hardward to Morgan Stanley	
17499			X		Exhibit 17499 pages 58, 59, 61-66, 68 (1006 Summary Chart admitted), 69, 70, 71, 72 marked (Demonstrative)	
		10:19 a.m.			Court in recess	
		10:46 a.m.			Court reconvened. Court Reporter – Rhonda Aquilina. All jurors present. All parties present. Defense (Lynch) cross-examination of witness Steven Brice	
	9042		X		Exhibit 9042 marked	
	9056		X		Exhibit 9056 marked	

	9041		X		Exhibit 9041 marked	
	9038		X		Exhibit 9038 marked	
	17499		X		Exhibit 17499 page 21 (Demonstrative)	
	230		X	X	Exhibit 230 marked and admitted Note to Audit Committee from Hussain: Q3 2009 results analysis	
	17499		X		Exhibit 17499 page 21 (Demonstrative)	
	8863		X	X	Exhibit 8863 marked and admitted Email from FielerS to KeversC; FallonC, re: "Re: Tesla earnings surprise data"	
	4830		X		Exhibit 4830 previously admitted	
	17499		X		Exhibit 17499 page 53 (Demonstrative)	
	7788		X		Exhibit 7788-223 marked (Demonstrative)	
		11:59 a.m.			Jurors on break. Discussion re testimony of Mr. Brice and presentation of evidence to the jurors. Discussion re Agent's testimony. Defense (Chamberlain) Motion for a Mistrial. Court in recess	
		12:18 p.m.				
		12:54 p.m.			Court reconvened. Court Reporter – Jennifer Coulthard. All jurors present. All parties present. Defense (Lynch) cross-examination of witness Steven Brice	
	17499		X		Exhibit 17499 page 50 (Demonstrative)	
	6737		X		Exhibit 6737 page 8 and page 11 (Demonstrative)	
	16992		X		Exhibit 16992 pages 15-17 marked	
	17499		X		Exhibit 17499 page 29, 32, 33 marked (Demonstrative)	
	6737		X		Exhibit 6737 page 7 and page 8 marked	
		2:18 p.m.			Jurors on break. Defense counsel request to voir dire Mr. Brice re what documentation and witness testimony he reviewed prior to today's testimony.	
		2:37 p.m.			Court in recess	
		2:51 p.m.			Court reconvened. Court Reporter – Jennifer Coulthard. Jurors not present. The Court will allow defense counsel to voir dire Mr. Brice at the end of the day.	
		2:53 p.m.			All jurors present. All parties present. Defense (Lynch) cross-examination of witness Steven Brice	
	16992		X		Exhibit 16992 page 18, 19, 20, 21 marked	
	38		X	X	Exhibit 38 marked and admitted Excel Workbook: Capax EDD Revenue Testing	
	8821		X		Exhibit 8821 previously admitted	
	17499		X		Exhibit 17499 page 31 marked (Demonstrative)	

	7778		X		Exhibit 7778 Slides 147, 148 marked (Demonstrative)	
	9050		X	X	Exhibit 9050 marked and admitted Autonomy Digital Safe Service Charles Schwab	
	16664		X	X	Exhibit 16664 marked and admitted Schwab and Zantaz	
	17499		X		Exhibit 17499 page 37 (Demonstrative)	
	16986	3:43 p.m. 4:18 p.m.			Jurors excused until May 16, 2024 at 9:15 a.m. Defense (Lynch) voir dire Mr. Brice re the source of the materials and witness testimony Exhibit 16986 – Expert Report Defense request to strike Mr. Brice’s testimony re “witness testimony.” Discussion re “FRC.” Court adjourned until May 16, 2024 at 9:15 a.m.	